



**SIGMA SECURITIES LIMITED**  
(MEMBER OF NIGERIAN STOCKEXCHANGE)  
11, AWOLowo ROAD IKOYI, LAGOS.

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**INDIVIDUAL STOCKBROKING ACCOUNT OPENING FORM**

Name: \_\_\_\_\_

Date of Birth(DD/MM/YY) \_\_\_\_\_

Occupation/Nature of Business \_\_\_\_\_

Name of Employer: \_\_\_\_\_

Office Location/Address: \_\_\_\_\_

Residential Address: \_\_\_\_\_

Postal Address: \_\_\_\_\_

Gender: \_\_\_\_\_ E-mail: \_\_\_\_\_ Telephone Number(s) \_\_\_\_\_

Citizenship \_\_\_\_\_ City \_\_\_\_\_ State/Local Govt \_\_\_\_\_

Mother's Maiden name \_\_\_\_\_ Next of Kin \_\_\_\_\_

Relationship with next of Kin \_\_\_\_\_ Telephone Number(s) \_\_\_\_\_

Initial Investment:

Mode of Payment Cheque  Draft  Bank Transfer

Banker: \_\_\_\_\_ Account No: \_\_\_\_\_ Branch \_\_\_\_\_

Date of Creation of Bank Account \_\_\_\_\_ (yyyy/mm/dd) BVN \_\_\_\_\_

Method of transmitting mandate: 1. By e-mail  2. By mandate form

Receipt of Quarterly CSCS Statement: Post  Personal Collection  E-mail

Receipt of Contract Notes: Email: Post  Personal Collection  E-mail

Signature Specimen

Date

**INDEMNITY FOR HONOURING ELECTRONIC INSTRUCTIONS**

We are fully aware that sale orders, purchase orders, payment instructions and other instructions on this Account shall be by written instruction signed according to my/our mandate. I/We hereby acknowledge that the use of facsimile (fax), telephone, e-mail, SMS messages or other unsecured means of communication to convey instructions is associated with additional risks and fraud exposure.

In consideration of Sigma Securities Limited agreeing to accept and act upon any such instructions, communications and documents by facsimile (fax), telephone, e-mail, SMS messages unaccompanied by my/our signed written instruction; I/We hereby irrevocably undertake to indemnify Sigma Securities Limited and hold it harmless from and against all costs(including without limitation, legal fees and expenses, claims, losses, liabilities, damages and proceedings) whatsoever that the company may suffer or incur or that may arise as a result of Sigma Securities Limited accepting or acting upon such instructions, communication or documents. Furthermore, I/We hereby irrevocably release the company from all liability in the event that any telephone, e-mail, SMS messages, facsimile transmission or letter is not received, or is mutilated, altered, illegible or interrupted, duplicated, incomplete, unauthorized, or delayed for any reason.

The company shall have absolute discretion, for any reason whatsoever, to act or act upon documentation received by facsimile, e-mail or SMS messages, telephone, etc unaccompanied by/our signed written instruction.

Dated this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

\_\_\_\_\_  
Authorised Signatory

\_\_\_\_\_  
Authorised Signatory

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**OFFICE USE ONLY**

- Driver’s License/National ID card/International Passport
- Passport Photograph of Signatory (ies)
- Utility Bill
- Know Your Customer (KYC)

Account Introduced by \_\_\_\_\_

Account Approved by \_\_\_\_\_